SUBSTITUTE PTO/SB/96(08-08)

STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Frank D. Tuttle
Application No./Patent No.:09/518,837 / 7,412,417 Filed/Issue Date: 3/3/2000 / 8/12/2008
Entitled: Loan Compliance Auditing System and Method
Ellie Mae, Inc., a corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:
1. X the assignee of the entire right, title, and interest; or
an assignee of less than the entire right, title and interest. The extent (by, percentage) of its ownership interest is%
in the patent application/patent identified above by virtue of either:
A. A assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel (), Frame (), or for which a copy thereof is attached. OR
B. 🔯 A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
From: FRANK, D. TUTTLE To ASSURED REGULATORY COMPLIANCE, INC. The document was recorded in the United States Patent and Trademark Office at Reel 010631, Frame 0403, or for which a copy thereof is attached.
2. From: ASSURED REGULATORY COMPLIANCE, To MAVENT, INC.
The document was recorded in the United States Patent and Trademark Office at Reel <u>014923</u> , Frame <u>0114</u> , or for which a copy thereof is attached.
 From: MAVENT, INC. To MAVENT HOLDINGS, INC. The document was recorded in the United States Patent and Trademark Office at Reel 016175, Frame 0396, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
/Alex Chan/ August 22, 2011
Signature Date
Alex Chan , 52,713 (650) 839-5159
Printed or Typed Name Telephone Number
Attorney for Applicant
Title

Attorney Docket No.: 22241-0012001/

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4. From: MAVENT HOLDINGS, INC.

To ELLIE MAE, INC..

The document was recorded in the United States Patent and Trademark Office at

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